

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Chen et al.

Confirmation No.: 9509

Serial No.: 10/764,405

Examiner: Unknown

Filing Date: January 23, 2004

Group Art Unit: 3731

Docket No.: 1001.1677101

Customer No.: 28075

For: CATHETER HAVING AN IMPROVED BALLOON-TO-CATHETER BOND

TRANSMITTAL SHEET

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 14th day of May 2004.

By

David M. Crompton
David M. Crompton

We are transmitting herewith the attached:

- [] Amendment
[] No additional claim fee required
[] The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

[] A check in the amount of \$_____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

Fee Code _____ \$

[] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: Information Disclosure Statement and Form PTO-1449 and 6 cited non-U.S. patent/publication references.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772

David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Chen et al.

Confirmation No.: 9509

Serial No.: 10/764,405

Examiner: Unknown

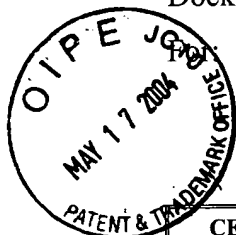
Filing Date: January 23, 2004

Group Art Unit: 3731

Docket No.: 1001.1677101

Customer No.: 28075

CATHETER HAVING AN IMPROVED BALLOON-TO-CATHETER BOND



INFORMATION DISCLOSURE STATEMENT

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment

Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

On this 14th day of May 2004.

By

David M. Crompton
David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

John Chen et al.

By their Attorney,

David M. Crompton
David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

Date: 5/14/04

FORM PTO-1449

Atty. Docket No.:
1001.1677101

Serial No.:
10/764,405

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: John Chen et al.

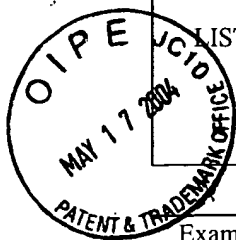
Confirmation No.: 9509

Filing Date:
January 23, 2004

Group Art:
3731

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	2002/0022824	02/21/2002	Kastenhofer	
AB	2002/0165523	11/07/2002	Chin et al.	
AC	2003/0088265	05/08/2003	Kastenhofer	
AD	2003/0120207	06/26/2003	Wang	
AE	2003/0138582	07/24/2003	Miller et al.	
AF	4,960,410	10/02/1990	Pinchuk	
AG	5,047,045	09/10/1991	Arney et al.	
AH	5,100,381	03/31/1992	Burns	
AI	5,108,415	04/28/1992	Pinchuk et al.	
AJ	5,156,594	10/20/1992	Keith	
AK	5,254,090	10/19/1993	Lombardi et al.	
AL	5,270,086	12/14/1993	Hamlin	
AM	5,314,386	05/24/1994	Eide et al.	
AN	5,342,386	08/30/1994	Trotta	
AO	5,344,401	09/06/1994	Radisch et al.	
AP	5,358,486	10/25/1994	Saab	
AQ	5,439,454	08/08/1995	Lo et al.	
AR	5,478,320	12/26/1995	Trotta	
AS	5,499,973	03/19/1996	Saab	
AT	5,501,759	03/26/1996	Forman	
AU	5,533,985	07/09/1996	Wang	
AV	5,538,510	07/23/1996	Fontirroche et al.	
AW	5,545,151	08/13/1996	O'Connor et al.	
AX	5,587,125	12/24/1996	Roychowdhury	



Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AY	5,603,991	02/18/1997	Kupiecki et al.	
AZ	5,755,690	05/26/1998	Saab	
BA	5,769,819	06/23/1998	Schwab et al.	
BB	5,789,018	08/04/1998	Engelson et al.	
BC	5,797,877	08/25/1998	Hamilton et al.	
BD	5,820,594	10/13/1998	Fontirroche et al.	
BE	5,843,032	12/01/1998	Kastenhofer	
BF	5,876,376	03/02/1999	Schwab et al.	
BG	5,902,631	05/11/1999	Wang et al.	
BH	6,004,289	12/21/1999	Saab	
BI	6,020,071	02/01/2000	Watson	
BJ	6,086,556	07/11/2000	Hamilton et al.	
BK	6,106,889	08/22/2000	Beavers et al.	
BL	6,132,824	10/17/2000	Hamiln	
BM	6,136,258	10/24/2000	Wang et al.	
BN	6,179,811	01/30/2001	Fugoso et al.	
BO	6,319,228	11/20/2001	Kastenhofer	
BP	6,325,814	12/04/2001	Euteneuer et al.	
BQ	6,464,683	10/15/2002	Samuelson et al.	
BR	6,471,673	10/29/2002	Kastenhofer	
BS	6,482,348	11/19/2002	Wang et al.	
BT	6,488,655	12/03/2002	Wantink et al.	
BU	6,530,938	03/11/2003	Lee et al.	
BV	6,659,977	12/09/2003	Kastenhofer	

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation Yes No
		EP 0873759 A2	10/28/1998	Europe	
		EP 0873759 A3	06/02/1999	Europe	
		WO 03066121	08/14/2003	PCT	
		0 453 960 A1	08/04/1993	Europe	
		0 846 472 A1	06/10/1998	Europe	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		Information Sheet: "Riding the Wave with Hytrel Thermoplastic Polyester Elastomer," <u>Innovare</u> , April 2001, page 41

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.